



PATENT
Attorney Docket No.: JANJA-00101

#4
Suppl.
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MMA
3/27/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Theodore L. Griggs

Serial No.: 09/909,676

Filed: July 19, 2001

For: **MECHANISM FOR
DISTRIBUTING CONTENT DATA**

) Group Art Unit: 2182

) Examiner:

) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT**

) 260 Sheridan Avenue, Suite 420
) Palo Alto, California 94306
) (650)833-0160

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED

DEC 20 2001

Group 2100

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publication which may be material to the examination of this application:

- U.S. Patent No. 3,341,833;
- U.S. Patent No. 3,440,427;
- U.S. Patent No. 3,493,674;
- U.S. Patent No. 3,645,539;
- U.S. Patent No. 3,682,363;
- U.S. Patent No. 3,833,757;
- U.S. Patent No. 3,855,426;
- U.S. Patent No. 3,891,792;
- U.S. Patent No. 3,996,583;
- U.S. Patent No. 4,016,361;
- U.S. Patent No. 4,026,555;

CERTIFICATE OF MAILING (37 CFR § 1.8(a);

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP.

Date: 10-19-01 By: *John D. Lusk*

- U.S. Patent No. 4,052,719;
- U.S. Patent No. 4,096,524;
- U.S. Patent No. 4,134,127;
- U.S. Patent No. 4,139,860;
- U.S. Patent No. 4,161,728;
- U.S. Patent No. 4,170,782;
- U.S. Patent No. 4,203,130;
- U.S. Patent No. 4,205,343;
- U.S. Patent No. 4,218,698;
- U.S. Patent No. 4,222,069;
- U.S. Patent No. 4,231,031;
- U.S. Patent No. 4,233,628;
- U.S. Patent No. 4,249,211;
- U.S. Patent No. 4,261,006;
- U.S. Patent No. 4,264,924;
- U.S. Patent No. 4,270,145;
- U.S. Patent No. 4,283,735;
- U.S. Patent No. 4,288,809;
- U.S. Patent No. 4,319,286;
- U.S. Patent No. 4,325,080;
- U.S. Patent No. 4,329,684;
- U.S. Patent No. 4,337,480;
- U.S. Patent No. 4,337,483;
- U.S. Patent No. 4,344,090;
- U.S. Patent No. 4,390,901;
- U.S. Patent No. 4,408,309;
- U.S. Patent No. 4,412,244;
- U.S. Patent No. 4,412,281;
- U.S. Patent No. 4,420,769;
- U.S. Patent No. 4,425,581;
- U.S. Patent No. 4,430,676;
- U.S. Patent No. 4,445,195;
- U.S. Patent No. 4,449,249;

- U.S. Patent No. 4,456,925;
- U.S. Patent No. 4,477,830;
- U.S. Patent No. 4,488,179;
- U.S. Patent No. 4,495,654;
- U.S. Patent No. 4,496,171;
- U.S. Patent No. 4,496,976;
- U.S. Patent No. 4,521,914;
- U.S. Patent No. 4,547,804;
- U.S. Patent No. 4,566,034;
- U.S. Patent No. 4,577,240;
- U.S. Patent No. 4,595,951;
- U.S. Patent No. 4,595,952;
- U.S. Patent No. 4,620,229;
- U.S. Patent No. 4,630,108;
- U.S. Patent No. 4,631,601;
- U.S. Patent No. 4,633,331;
- U.S. Patent No. 4,691,351;
- U.S. Patent No. 4,694,490;
- U.S. Patent No. 4,706,121;
- U.S. Patent No. 4,707,121;
- U.S. Patent No. 4,734,765;
- U.S. Patent No. 4,751,578;
- U.S. Patent No. 4,768,228;
- U.S. Patent No. 4,786,982;
- U.S. Patent No. 4,841,132;
- U.S. Patent No. 4,866,434;
- U.S. Patent No. 4,876,670;
- U.S. Patent No. 4,891,715;
- U.S. Patent No. 4,908,707;
- U.S. Patent No. 4,908,713;
- U.S. Patent No. 4,949,187;
- U.S. Patent No. 4,963,866;

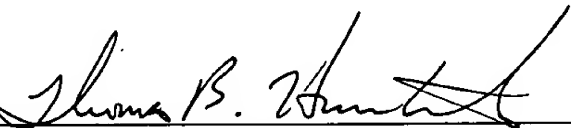
- U.S. Patent No. 4,963,994;
- U.S. Patent No. 4,972,396;
- U.S. Patent No. 4,977,455;
- U.S. Patent No. 4,991,033;
- U.S. Patent No. 5,016,273;
- U.S. Patent No. 5,021,893;
- U.S. Patent No. 5,038,211;
- U.S. Patent No. 5,063,453;
- U.S. Patent No. 5,119,075;
- U.S. Patent No. 5,134,499;
- U.S. Patent No. 5,184,115;
- U.S. Patent No. 5,119,075;
- U.S. Patent No. 5,223,924;
- U.S. Patent No. 5,227,934;
- U.S. Patent No. 5,241,428;
- U.S. Patent No. 5,291,554;
- U.S. Patent No. 5,293,357;
- U.S. Patent No. 5,307,173;
- U.S. Patent No. 5,321,514;
- U.S. Patent No. 5,329,320;
- U.S. Patent No. 5,345,430;
- U.S. Patent No. 5,357,383;
- U.S. Patent No. 5,371,551;
- U.S. Patent No. 5,371,795;
- U.S. Patent No. 5,382,983;
- U.S. Patent No. 5,404,505;
- U.S. Patent No. 5,438,423;
- U.S. Patent No. 5,457,583;
- U.S. Patent No. 5,475,835;
- U.S. Patent No. 5,479,268;
- U.S. Patent No. 5,485,219;
- U.S. Patent No. 5,489,894;
- U.S. Patent No. 5,508,815;

- U.S. Patent No. 5,515,173;
- U.S. Patent No. 5,534,911;
- U.S. Patent No. 5,555,463;
- U.S. Patent No. 5,557,422;
- U.S. Patent No. 5,589,892;
- U.S. Patent No. 5,619,274;
- U.S. Patent No. 5,663,757;
- U.S. Patent No. 5,703,795;
- U.S. Patent No. 5,729,549;
- U.S. Patent No. 5,781,246;
- U.S. Patent No. 5,818,441;
- European Publication No. EP 0 041 121 A1;
- European Publication No. EP 0 254 927 A2;
- European Publication No. EP 0 368 313 B1;
- PCT Publication No. WO 91/07050; and
- PCT Publication No. WO 92/08320.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 10-19-01

By: 
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicant

FORM PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.: JANJA-00101

Serial No.: 09/909,676

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(37 CFR § 1.98(b))

Applicant: Theodore L. Griggs

Filing Date: July 19, 2001

Group Art. 182

U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	3,341,833	09/12/67	P.R. Jones	340	174.1	12/19/63
	AB	3,440,427	04/22/69	F. Kammer	250	210	04/12/66
	AC	3,493,674	02/03/70	W.D. Houghton	178	5.6	05/18/66
	AD	3,645,539	02/29/72	Jenkins	274	4B	03/19/69
	AC	3,682,363	08/08/72	Hull	226	118	10/12/70
	AF	3,833,757	09/03/74	Kirk, Jr. et al.	178	5.6	04/10/72
	AG	3,855,426	12/17/74	Bouwhuis	179	300.3 V	07/25/73
	AH	3,891,792	06/24/75	Kimura	178	5.8 R	06/25/74
	AI	3,996,583	12/07/76	Hutt et al.	340	324 AD	07/30/74
	AJ	4,016,361	04/05/77	Pandey	358	83	09/02/75
	AK	4,026,555	05/31/77	Kirschner et al.	273	85 R	03/12/75
	AL	4,052,719	10/04/77	Hutt et al.	340	324 AD	05/03/76
	AM	4,096,524	06/20/78	Scott	358	85	10/29/76
	AN	4,134,127	01/09/79	Campioni	358	16	09/02/76
	AO	4,139,860	02/13/79	Micic et al.	358	22	06/15/77
	AP	4,161,728	07/17/79	Insam	340	750	09/01/77
	AQ	4,170,782	10/09/79	Miller	358	84	10/28/77
	AR	4,203,130	05/13/80	Doumit et al.	358	1	01/11/77
	AS	4,205,343	05/27/80	Barrett	358	147	11/08/76
	AT	4,218,698	08/19/80	Bart et al.	358	22	03/06/79
	AU	4,222,069	09/09/80	Groetschel	358	127	07/28/78
	AV	4,231,031	10/28/80	Crowther et al.	340	695	04/07/78
	AW	4,233,628	11/11/80	Ciciora	358	147	01/11/79
	AX	4,249,211	02/03/81	Baba et al.	358	183	02/05/79
	AY	4,261,006	04/07/81	Weintraub et al.	358	3	03/19/79
	AZ	4,264,924	04/28/81	Freeman	358	86	08/13/79
	BA	4,270,145	05/26/81	Farina	358	188	05/17/79
	BB	4,283,735	08/11/81	Jagger	358	4	09/21/79
	BC	4,288,809	09/08/81	Yabe	358	12	05/21/80
	BD	4,319,286	03/09/82	Hanpachera	360	33	01/07/80
	BE	4,325,080	04/13/82	Satoh	358	127	04/07/80
	BF	4,329,684	05/11/82	Monteath et al.	340	707	01/15/80
	BG	4,337,480	06/29/82	Bourassin et al.	358	93	02/08/80
	BH	4,337,483	06/29/82	Guillon	358	114	01/31/80
	BI	4,344,090	08/10/82	Belisomi et al.	358	183	09/13/79
	BJ	4,390,901	06/28/83	Keiser	358	147	10/16/80

Examiner:

Date Considered:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.: JANJA-00101

Serial No.: 09/909,676

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use Several Sheets If Necessary)

Applicant: Theodore L. Griggs

Filing Date: July 19, 2001

Group Art Unit: 2182

(37 CFR § 1.98(b))

U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	BK	4,408,309	10/04/83	Kiesling et al.	369	7	01/20/81
	BL	4,412,244	10/25/83	Shanley, II	358	22	11/20/81
	BM	4,412,281	10/25/83	Works	364	200	06/11/80
	BN	4,420,769	12/13/83	Novak	358	139	03/22/78
	BO	4,425,581	01/10/84	Schweppe et al.	358	148	04/17/81
	BP	4,430,676	02/07/84	Johnson	360	13	04/30/82
	BQ	4,445,195	04/24/84	Yamamoto	364	900	10/29/81
	BR	4,449,249	05/15/84	Price	455	45	09/27/82
	BS	4,456,925	06/26/84	Skerlos et al.	358	85	10/04/82
	BT	4,477,830	10/16/84	Lindman et al.	358	1	10/13/82
	BU	4,488,179	12/11/84	Kruger et al.	358	181	09/23/81
	BV	4,495,654	01/22/85	Deiss	358	151	03/29/83
	BW	4,496,171	01/29/85	Cherry	283	61	09/29/82
	BY	4,496,976	01/29/85	Swanson et al.	358	142	12/27/82
	BY	4,521,914	06/04/85	Petrovic	455	142	11/25/81
	BZ	4,547,804	10/15/85	Greenberg	358	142	03/21/83
	CA	4,566,034	01/21/86	Harger et al.	358	194.1	05/02/83
	CB	4,577,240	03/18/86	Hedberg et al.	360	22	11/15/82
	CC	4,595,951	06/17/86	Filliman	358	147	11/29/83
	CD	4,595,952	06/17/86	Filliman	358	147	11/29/83
	CE	4,620,229	10/28/86	Amano et al.	358	194.1	04/24/85
	CF	4,630,108	12/16/86	Gomersall	358	84	03/26/84
	CG	4,631,601	12/23/86	Brugliera et al.	358	335	10/05/84
	CH	4,633,331	12/30/86	McGrady et al.	360	7	06/06/85
	CI	4,691,351	09/01/87	Hayashi et al.	380	10	11/29/85
	CJ	4,694,490	09/15/87	Harvey et al.	380	20	11/03/81
	CK	4,706,121	11/10/87	Young	358	142	05/06/86
	CL	4,707,121	11/17/87	Sawaki et al.	355	40	01/13/86
	CM	4,734,765	03/29/88	Okada et al.	358	102	12/01/78
	CN	4,751,578	06/14/88	Reiter et al.	358	183	05/28/85
	CO	4,768,228	08/30/88	Clupper et al.	380	20	09/11/87
	CP	4,786,982	11/22/88	Wakahara et al.	360	10.3	12/23/85
	CQ	4,841,132	06/20/89	Kajitani et al.	235	472	07/16/87
	CR	4,866,434	09/12/89	Keenan	340	825.72	12/22/88
	CS	4,876,670	10/24/89	Nakabayashi et al.	365	194	12/09/87
	CT	4,891,715	01/02/90	Levy	360	14.1	02/10/88

Examiner:

Date Considered:

EXAMINER:

Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark Office

Attorney Docket No.: SSI-00304

Serial No.: 09/796,300

INFORMATION DISCLOSURE STATEMENT BY APPLICANT
(Use Several Sheets If Necessary)

Applicant: William H. Mullee et al.

Filing Date: February 27, 2001

Group A:

(37 CFR § 1.98(b))

U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	CU	4,908,707	03/13/90	Kinghorn	358	147	03/13/88
	CV	4,908,713	03/13/90	Levine	358	335	06/29/88
	CW	4,949,187	08/14/90	Cohen	358	335	12/16/88
	CX	4,963,866	10/16/90	Duncan	341	110	03/27/89
	CY	4,963,994	10/16/90	Levine	358	335	02/23/90
	CZ	4,972,396	11/20/90	Rafner	369	32	10/24/88
	DA	4,977,455	12/11/90	Young	368	142	07/15/88
	DB	4,991,033	02/05/91	Takeshita	360	32	09/28/88
	DC	5,016,273	05/14/91	Hoff	380	10	01/09/89
	DD	5,021,893	06/04/91	Scheffler	360	15	10/04/88
	DF	5,038,211	08/06/91	Hallenbeck	388	142	07/05/89
	DF	5,063,453	11/05/91	Yoshimura et al.	360	32	01/28/91
	DG	5,119,075	06/02/92	Smith	340	707	06/18/90
	DH	5,134,499	07/28/92	Sata et al.	358	342	08/03/89
	DI	5,184,115	02/02/93	Black et al.	340	708	06/04/90
	DJ	5,119,075	06/02/92	Smith	340	707	06/18/90
	DK	5,223,924	06/29/93	Strubbe	358	86	05/27/92
	DL	5,227,934	07/13/93	Vasilescu	360	90	07/10/89
	DM	5,241,428	08/31/93	Goldwasser et al.	360	7	03/12/91
	DN	5,291,554	03/01/94	Morales	380	5	08/19/92
	DO	5,293,357	03/08/94	Hallenbeck	348	734	09/10/90
	DP	5,307,173	04/26/94	Yuen et al.	358	335	02/03/92
	DQ	5,321,514	06/14/94	Martinez	348	723	09/04/92
	DR	5,329,320	07/12/94	Yifrach	348	738	12/03/92
	DS	5,345,430	09/06/94	Moe	369	7	07/29/92
	DT	5,357,383	10/18/94	Godwin	360	74.3	02/08/94
	DU	5,371,551	12/06/94	Logan et al.	348	571	10/29/92
	DV	5,371,795	12/06/94	Vogel	380	23	12/02/92
	DW	5,382,983	01/17/95	Kwoh et al.	348	716	09/08/93
	DX	5,404,505	04/04/95	Levinson	395	600	11/01/91
	DY	5,438,423	08/01/95	Lynch et al.	358	335	05/11/94
	DZ	5,457,583	10/10/95	Kaneko	360	63	04/26/94
	EA	5,475,835	12/12/95	Hickey	395	600	03/02/93
	EB	5,479,268	12/26/95	Young et al.	358	335	02/18/94
	EC	5,485,219	01/16/96	Woo	348	460	04/18/94

Examiner:

Date Considered:

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449
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Applicant: Theodore L. Griggs

(37 CFR § 1.98(b))

Filing Date: July 19, 2001

Group Art. No.

U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	ED	5,489,894	02/06/96	Murray	340	825.44	04/04/94
	EE	5,508,815	04/16/96	Levine	358	335	03/31/95
	EF	5,515,173	05/07/96	Mankovitz et al.	358	335	06/02/93
	EG	5,534,911	07/09/96	Levitan	348	1	11/02/94
	EH	5,555,463	09/10/96	Staron	348	560	01/25/94
	EI	5,557,422	09/17/96	Nishigaki et al.	358	335	11/04/94
	EJ	5,589,892	12/31/96	Knee et al.	348	731	06/07/95
	EK	5,619,274	04/08/97	Roop et al.	348	461	05/13/94
	EL	5,663,757	09/02/97	Morales	348	13	03/25/91
	EM	5,703,795	12/30/97	Mankovitz	364	514 R	06/07/95
	EN	5,729,549	03/17/98	Kostreski et al.	370	522	06/19/95
	EO	5,781,246	07/14/98	Alten et al.	348	569	05/20/94
	EP	5,818,441	10/06/98	Trockmorton	345	328	06/15/95

FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	EQ	EP 0 041 121 A1	12/09/81	EPO	H 04 N	7/08		X
	ER	EP 0 254 927 A2	02/03/88	EPO	H 04 N	5/76	X	
	ES	EP 0 368 313 B1	06/25/97	EPO	H 04 N	7/087	X	
	ET	WO 91/07050	05/16/91	WO	H 04 N	5/44		X
	EU	WO 92/08320	05/14/92	WO	H 04 N	7/167		X

Examiner:

Date Considered:

EXAMINER:

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PATENT
Attorney Docket No.: JANJA-00101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Theodore L. Griggs

Serial No.: 09/909,676

Filed: July 19, 2001

For: **MECHANISM FOR
DISTRIBUTING CONTENT DATA**

Group Art Unit: 2182

Examiner:

TRANSMITTAL LETTER

260 Sheridan Avenue, Suite 420
Palo Alto, CA 94306
(650) 833-0160

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Group 2100

Assistant Commissioner for Patents
Washington, D.C. 20231

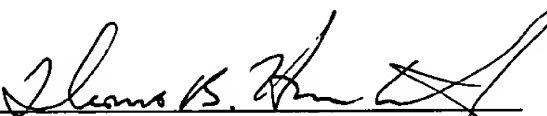
Sir:

Enclosed please find an Information Disclosure Statement, Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 10-19-01

By: 
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

- 1 -

HAVERSTOCK & OWENS LLP.

Date: 10-19-01 By: 